#### STATE OF NEVADA

## LOCAL GOVERNMENT EMPLOYEE-MANAGEMENT RELATIONS BOARD

STATIONARY ENGINEERS, LOCAL 39, INTERNATIONAL UNION OF OPERATING ENGINEERS, AFL-CIO, and FRANK KAY.

ITEM NO. 231

CASE NO. A1-045441

Complainants,

DECISION

-VS-

COUNTY OF LYON, a political subdivision of the State of Nevada,

Respondent.

For the Complainant: Larry D. Lessly, Esq.

MOSCHETTI & LESSLY

For the Respondent: Zane Miles, Deputy District Attorney

LYON COUNTY DISTRICT ATTORNEY'S OFFICE

For the EMRB: Salvatore C. Gugion, Chairman

Tamara Barengo, Vice Chairman

Howard Ecker, Member

#### STATEMENT OF THE CASE

This matter came before the Local Government Employee-Management Relations Board ("Board") upon the filing of a Complaint by the Stationary Engineers, Local 39, International Union of Operating Engineers, AFL-CIO, ("Union") and Frank Kay alleging willful discrimination for personal reasons by the County in violation of NRS 288.270(1)(f).

The case arises from the termination of Frank Kay from his employment as Mechanic with the County. The Association contends that personal animus existed between Mr. Kay and his immediate supervisor and it was this personal animus which resulted in the termination of Mr. Kay. They further contend that this charge is substantiated and bolstered by a denial of due process in an attempt by the County to make an example of this employee in

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the midst of other labor problems in the Union's first year of recognition as the exclusive bargaining agent for the County's employees. The C contends that Mr. Kay was terminated for proper cause, i.e. knowingly and deliberately falsifying an official record of the County, to-wit, his timecard and that any procedural errors possibly committed during his termination did not result in denial of due process.

On or about August 4, the County filed a Motion For Continuance on the basis that the counsel of record for Respondent had been subpoensed by Complainant as a witness and was forced to withdraw as counsel pursuant to SCR 178. The Motion was opposed by Complainant contending that the counsel of record was notified that he would be called as an adverse witness in the Prehearing Statement filed on or about April 26, 1989 and had sufficient time to determine if there was a conflict of interest and act accordingly. The Board denied the Motion on the basis that (1) counsel for Respondent had notice, actual and constructive, from the time of service of Complainans' Prehearing Statement filed on or about April 26, 1989, and (2) counsel was being called for the purpose of serving as an adverse witness, which under the circumstances, the Board did not view as prejudicial to Respondent.

The Board conducted a hearing in Reno, Nevada on August 10, 1989. The Union presented evidence and argument in support of its Complaint. County presented evidence and argument in opposition to the Complaint and in support of its actions.

During the hearing the County contended, as a threshold matter, that absent a labor agreement reached pursuant to NRS 288 between the County and the Union, a determination in this matter and a review of the findings by Respondent's Board of Commissioners was beyond the jurisdiction of the Board.

At the conclusion of the hearing, the matter was submitted to the Board

for decision.

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#### DISCUSSION

As a threshold matter, the Board rejects the County's argument that the Board lacks jurisdiction to make a determination in this case.

First, NRS 288.270 sets forth the definition of an unfair labor practice, as follows:

- 1. It is a <u>prohibited practice</u> for a local government employer or its designated representative willfully to:
- (f) Discriminate because of race, color, religion, sex, age, physical or visual handicap, national origin or because of political or personal reasons or affiliations. (Emphasis added.)

Further, NRS 288.280 states that "any controversy concerning prohibited practices may be submitted to the board . . . "

Second, there remains no labor agreement between the two parties one (1) year and four (4) months after recognition of the current bargaining agent. The instant case was filed during apparently serious labor disagreements, this being the fourth petition filed before this Board in the past seven (7) months. See EMRB Case Nos. Al-045449, Al-045451 and Al-045457. As an additional occurrence during this ongoing labor dispute, the instant case certainly falls within the jurisdiction of the Board. The County itself substantiates this link in its December 1, 1988 hearing before the County Commissioners concerning the appeal of the Employee Management Committee decision on the termination of Frank Kay. Deputy District Attorney Zane Miles connects this case to ongoing Union disputes by noting:

This county has not, in the past, found it necessary to use time clocks and to uh check hourly employees in and out for sick leave and other uh purposes. With the advent of the union, it is apparent that we may have to do that. (Emphasis added.) Joint Exhibit 3, p. 65.

Therefore, the Board rejects the County's arguments concerning jurisdiction.

As discussed in Clark County Public Employees Association v. County of 1 Clark, Item 215, Case No. A1-045425 (1988), of the Boards past decisi 2 the United States Supreme Court established in McDonnell Douglas Corp. v. 3 Green, 411 U.S. 792, 802 (1973), a tripartite analysis for disparate treatment claims: The plaintiff must prove a prima facie case; the defendant must offer a legitimate, nondiscriminatory reason for its actions: 6 and the plaintiff must establish that the defendant's proffered explanation is a pretext to mask an illegal motive. 411 U.S. at 802-04. See also, Reno Police Protective Assn. v. City of Reno, 102 Nev. 98, 715 P.2d 1321 (1986); NLRB v. Transportation Management Corp., 462 U.S. 393 (1983); NLRB v. United Sanitation Service, 737 F.2d 936 (11th cir. 1984).

#### The complainant has established sufficient prima facie evidence in support of discrimination based upon personal reasons.

The Board believes that the Union has made a prima facie showing sufficient to support the inference that personal animus, supported by a desire to make an example of this employee in the County's dealings with the Union, was the motivating factor in the termination of the aggrieved employee. This personal animus was directed toward Frank Kay primarily by Ralph Richardson, his immediate supervisor.

The animus apparently stemmed from an incident in which Frank Kay horse traded an alternator that didn't work with Ralph Richardson. Richardson had apparently held this against Kay for some time.

The animosity that developed on the part of Richardson towards Kay and disparate treatment on the part of the County was evidenced by the following actions testified to by witnesses at the hearing:

- (Testimony by Mr. Kay, Mr. Richardson refused to talk to him. Tr.66)
  - 2. Mr. Richardson would give Frank Kay four or five jobs at a time and

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wouldn't allow anyone else to help, even if they were doing nothing. (Testimony by Mr. Kay, Tr.66 and testimony by Oscar Munoz, Tr.142)

- 3. Unlike his rules for other employees, Mr. Richardson would not allow Mr. Kay to talk to others while on the job. (Testimony of Mr. Kay, Tr.66-67)
- 4. There were lots of arguments and friction between them. (Testimony of Frank Booth, Tr.90, 92)
- 5. Mr. Richardson treated Mr. Kay more harshly than other employees. (Testimony of Leo McMinn, Tr.136)
- 6. Mr. Richardson indicated to other employees that they were not supposed to associate with Mr. Kay. (Testimony of Oscar Munoz, Tr.142)

Further, the testimony indicates that the decision by Mr. Richardson to fire Mr. Kay was bolstered by the help and support of the Deputy District Attorney Zane Miles whose motive was apparently to make an example of this employee in the County's dealings with the Union with whom they were embroiled in a labor dispute.

# II. Respondent's attempt to establish a legitimate explanation for the termination is pretextual in nature.

An examination of the evidence reveals substantial evidence that the County's stated reason for the dismissal was pretextual.

First, the witnesses do not agree, in their testimony before the Board, on the reason for Frank Kay's dismissal. The Deputy District Attorney, Zane Miles, states that Mr. Kay was fired because he had "submitted a false document." (Tr.107, 121). Ralph Richardson, Mr. Kay's immediate supervisor, who initialed the dismissal, testified that Mr. Kay was fired "for abuse of sick leave" (Tr.185), for bringing in his doctor's excuse too late (Tr.186), because he "got tired of being treated the way [he] was."

(Tr.186), and for not following instructions (Tr.186-187).

Second, the County provided no evidence to refute Mr. Kay's claim sick leave on the days in question. Although both Deputy District Attorney Zane Miles and Ralph Richardson admit that Mr. Kay has back and spinal problems and pain resulting from an injury, they contend that Mr. Kay was not eligible for the eight hours of sick leave claimed on each of the days in question. However, the County never had any evidence that Mr. Kay did not go to the doctor, nor did they require Mr. Kay to get an independent medical examination to determine whether he was incapacitated sufficiently to be out for those days while receiving treatment. Furthermore, it is apparent that it is common practice for county employees to take a full day off for sick leave for the purpose of seeing a physician outside of Lyon County even though the full day is not required for purposes of travel and the appointment.

Third, by no reasonable standards can Mr. Kay's actions be construed to constitute falsification of records or theft of County property. In Moulor v. American Life Ins. Co., 111 U.S. 335, 345, 4 S.Ct. 446, 471, 28 L.Ed. 447, the Court said that "'false' should be construed to mean intentionally untrue." It is incumbant on the party making the claim to show that the statements were untrue and that the individual accused of making the statement knew or should have known that they were untrue at the time they were made. North American Acc. Inc. Co. v. Tebbs 10 Cir., 107 F.2d 856. Frank Kay did not act in such a manner in claiming sick leave on the days in question. He even checked to see if what he was doing was proper. The County offered no convincing arguments or evidence that Frank Kay intentionally falsified the timecard with the intent to defraud the County or that his actions constituted attempted theft of County property.

Fourth, if the dismissal was for abuse of sick leave as Mr. Richardson contends, rather than falsification of records, then the County ignored and violated their own policies and procedures in the termination. Section 112 of the Merit Personnel Ordinance (Joint Exhibit 2, p. 38) states "For absences in excess of 3 days, or cases of apparent abuse, he may require the employee to submit substantiating evidence, including but not limited to, a physician's certificate. If no evidence is submitted, the appointing authority may grant leave without pay for the first offense and dismiss the employee upon the second offense." (Emphasis added.) In the instant case the County ignored progressive discipline, did not give notice and time for improvement, but simply fired Mr. Kay on the first alleged offense.

Fifth, Mr. Kay was deprived of due process in the termination. The actions of the County in their attempt to redo the process after having made the decision to fire him and against the decision of their own Employee Management Committee, did not remedy the denial of due process. The Board agrees with the Union's argument that the blatant denial and violation of Mr. Kay's procedural rights under the Merit Personnel Ordinance by the County are further evidence that their proffered legitimate explanation for the dismissal has been shown to be a pretext to mask an illegal motive.

Thus, the Board finds that the County's explanation is pretextual, and that the evidence conclusively restores the inference of unlawful motivation, particularly on the parts of Ralph Richardson and Zane Miles.

Therefore, the Board concludes that Union member Frank Kay was subjected to arbitrary, capricious, discriminatory and bad faith discipline. Since it is a prohibited practice for a local government employer willfully to discriminate because of personal reasons pursuant to NRS 288.270(1)(f), the termination of Frank Kay constitute a prohibited practice within the meaning of NRS 288.270(1)(f).

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a full-time employee, Mechanic II, Grade 27, Step 1. report rated his overall performance as "very good".

FINDINGS OF FACT

1. That the Complainant, Stationary Engineers, Local 39, Internati Union of Operating Engineers, AFL-CIO, is a local government employee organization engaged in the representation of local government employees of Lyon County, including Complainant Frank Kay.

- 2. That the Respondent, County of Lyon, is a political subdivision of the State of Nevada, being one of its sixteen counties, and is a local government employer.
- That the incidents leading to the instant case occurred in a 3. climate of bargaining unrest and an apparent problem by the County in working with or gaining a collective bargaining agreement with the Union.
  - 4. That the parties have stipulated to the following facts (Tr.5):
  - a. Frank Kay was employed by Lyon County on June 1, 1982, as
  - b. On November 23, 1982, Frank Kay's performance evaluation
  - c. No formal disciplinary action was taken against Frank Kay for any reason whatsoever during his employment with Lyon County, until his termination.
  - d. In the rating period July 1, 1987, to June 17, 1988. Frank Kay was given an "employee over-all evaluation" of "standard".
  - On July 1, 1988, Frank Kay was recommended for and granted a 2-1/2% merit salary increase.
  - On September 21, 1988, Ralph Richardson, Mr. Kay's supervisor, signed a specification of charges and notice addressed to Mr. Kay, alleging violation of Section 159(F)(1) and Section 130(18) of the Lyon County Personnel Ordinance, specifically

charging that Mr. Kay submitted a time sheet for July 28 through August 10, 1988, claiming sick leave for days for which he had previously requested annual leave, and not showing annual leave taken on his time sheet. He was charged with abuse of the County's sick leave provision of the Personnel Ordinance in that notice. The notice dismissed him effective upon delivery of the specifications of charges and notice, which delivery occurred on September 21, 1988.

g. On September 22, 1988, Frank Booth, Superintendent of the Lyon County Road Department, sent a letter to Mr. Kay advising him that he must pick up his tools by the close of business, Friday, September 23, 1988.

h. On September 22, 1988, Zane Stanley Miles, Deputy District Attorney of Lyon County, sent a letter to Mr. Kay advising him that the notice of termination directed to him on September 21, 1988, was withdrawn, pending a pre-termination hearing, and setting the termination hearing for 10:00 a.m. on Tuesday, September 27, 1988, at the office of the Lyon County District Attorney. That correspondence further placed Mr. Kay upon administrative leave with pay pending pre-termination hearing.

- i. A pre-termination hearing was conducted on September 27, 1988, at which Mr. Kay was present with John Kidwell, representative of International Union of Operating Engineers, Local 39, the bargaining agent from the unit to which Mr. Kay belonged.
- j. On September 27, 1988, Ralph Richardson, Shop Supervisor, and Frank Booth, Road Superintendent, executed the letter advising

Mr. Kay that pursuant to the pre-termination hearing conducted on September 27, 1988, his employment with Lyon County would terminate as of 4:30 p.m. that date, and that he may appeal the action to the Board of Lyon County Commissioners.

k. On September 28, 1988, Mr. Kay directed a letter to the personnel office of the Lyon County Road Department requesting a hearing concerning his termination to be held before the Employee Management Committee of Lyon County.

1. On October 28, 1988, Mr. Kay received a hearing before the Employee Management Committee. Mr. Kay was present, and represented by Mr. Kidwell. Lyon County was represented by Zane Stanley Miles, Deputy District Attorney. The recommendation of the Employee Managemet Committee was that Frank Kay be reinstated to his position as Mechanic II with the Lyon County Road Department with back pay to September 28, 1988, and that he be given all the benefits he would have earned during that time. It was further recommended that a written reprimand be given to Mr. Kay and placed in his personnel file for not complying with his supervisor's request for a doctor's verification of sick leave in a timely manner. The report and recommendation of the Employee Management Committee was dated November 1, 1988.

m. On November 3, 1988, after discussion in a personnel session, at which Mr. Kay was not present, the Board of County Commissioners of Lyon County voted unanimously to uphold the termination of Mr. Kay. On November 16, 1988, Maryanne Rogers, Administrative Assistant to the Board of Lyon County Commissioners, signed a letter to Mr. Kay indicating that the Board had taken this action on November 3, 1988; and that on

November 14, 1988 the Board had reviewed and discussed Mr. Kay's November 10, 1988 correspondence requesting a hearing before the Board concerning his termination.

n. On November 18, 1988, Ralph Richardson sent a letter to the Board of Lyon County Commissioners appealing the decision of the Employee Management Committee made November 3, 1988, ordering reinstatement of Frank Kay. That correspondence stated Mr. Richardson's understanding that the appeal had been set for public hearing at 9:30 a.m. on Thursday, December 1, 1988.

o. On November 18, 1988, Sherilyn Ostrander, Personnel Technician in the Lyon County Controller's Office, sent a letter to Mr. Kay advising Mr. Kay that Ralph Richardson had appealed the November 3, 1988 decision of the Employee Management Committee to the Board of Lyon County Commissioners, and that the appeal was set for December 1, 1988 at 9:30 a.m. and would be public.

p. A December 5, 1988 memorandum to Mr. Kay's personnel file from the Board of Lyon County Commissionrs indicates that at the regular meeting on December 1, 1988, the Board voted unanimously to uphold the original termination decision by Ralph Richardson, thereby overturning the decision of the Employment Management Committee for reinstatement of Mr. Kay.

q. On January 5, 1989, John Kidwell, Business Representative for Stationary Engineers, Local 39, wrote Maryanne Hamer, Chairman of the Lyon County Commissioners, which correspondence was received on January 9, 1989. The letter requested, pursuant to the provisions of the Lyon County Merit Personnel Ordinance, Chapter XIV, Section 146(6), a rehearing in the matter of the termination of the employment of Frank Kay.

r. On January 25, 1989, Nikki Bryan, Administrative Assistant to the Board of Lyon County Commissioners, sent the letter to Mr. Kidwell, reflecting that on January 19, 1989, the Lyon County Commissioners voted by a majority of four to one to deny Mr. Kay's request for a rehearing concerning his termination.

s. On September 20, 1988, a memorandum was placed in Mr. Kay's personnel file with Lyon County, the memo being dated May 13, 1988, and signed by Ralph Richardson.

t. Mr. Richardson executed a memo to the files dated August 19, 1988, with respect to Mr. Kay's use of leave and on September 15, 1988, concerning a doctor's release for sick leave.

u. An office memo to the files regarding annual or sick leave dated June 3, 1987, and signed by Ralph Richardson, was placed in the maintenance department files, concerning Mr. Richardson's talking to six employees of the maintenance shop, including Frank Kay, about use and abuse of sick leave.

v. That prior to and after the termination of Frank Kay, Lyon County has not terminated any employee or taken any formal disciplinary action against any employee for utilizing a full day's sick leave for a medical appointment not requiring a full eight hours for travel and treatment.

w. That Frank Kay provided a Disability Certificate from his treating chiropractor approximately five (5) weeks after requested to do so, reflecting treatment on August 2 and 5, 1988.

5. That little or no evidence was presented by the Respondent to refute Mr. Kay's claim that he attended doctor appointments on August 2, 3, and 4, 1988.

6. That it is common practice for County employees to take a full day off for sick leave for the purposes of seeing a physician outside of Lyon County even though the full day is not required for purposes of travel and the appointment.

- 7. That upon returning to work Frank Kay changed his timecard, which had previously indicated approved annual leave on the dates in question, to reflect sick leave taken for the purpose of attending doctor appointments on each of these days.
- 8. That Mr. Kay changed the timecard only upon inquiring of the Secretary of the Road Department as to whether the substitution of sick leave for the vacation time could be done by others and rescinding an affirmative answer.
- 9. That Mr. Kay's immediate supervisor, Ralph Richardson, stated in his testimony before the Board that he fired Mr. Kay for "abuse of sick leave". (Tr.185)
- 10. That the County's Merit Personnel Ordinance states that, for apparent abuse of sick leave, leave without pay may be granted for the first offense, and the County may dismiss the employee upon the second offense.
- II. That evidence does not support the County's contention that Frank Kay willfully and knowingly falsified his timecard or that he is a "would be thief" (Points and Authorities in Support of Pre-Hearing Statement of Respondent, p. 10) attempting to "defraud Respondent Lyon County of actual money, sick leave, which is theft of County property."
- 12. That the testimony of Zane Miles, Deputy District Attorney in Lyon County, concerning the County's proffered legitimate explanation for firing Frank Kay lacks credence and was not believed by the Board.
- 13. That the County fired Frank Kay without due process, violating his procedural rights under the County's Merit Personnel Ordinance, which was

not remedied by their attempt to go back and redo it at the insistence of the Union.

### CONCLUSIONS OF LAW

- 1. That the Local Government Employee-Management Relations Board possesses original jurisdiction over the parties and subject matter of this Complaint pursuant to the provisions of NRS 288.
- 2. That Complainant, Stationary Engineers, Local 39, International Union of Operating Engineers, AFL-CIO, is a recognized employee organization within the terms defined by NRS 288.040.
- That the Respondent, County of Lyon, is a local government employee within the terms defined by NRS 288.060.
- 4. That the Union made a prima facie showing supporting their contention that the firing of Frank Kay resulted from the personal animus and vendetta on the part of his immediate supervisor, Ralph Richardsc along with the help and support of the Deputy District Attorney, Zane Miles, whose motive was apparently to make an example of this employee in the County's dealings with the Union.
- 5. That the County's proffered legitimate explanation for firing Frank Kay, was shown to be a pretext to mask an illegal motive; i.e. discrimination based on personal animus along with other personal reasons. That illegal motive was substantiated and bolstered by the procedure that he went through in order to obtain a semblance of due process.
- 6. That Frank Kay was subjected to arbitrary, capricious, discriminatory and bad faith discipline by his supervisors Ralph Richardson and Frank Booth along with the help of the Deputy District Attorney, Zane Miles.

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- 7. That it is a prohibited practice for a local government employer willfully to discriminate because of personal reasons pursuant to NRS 288.270(1)(f).
- 8. That the firing of Frank Kay constituted a prohibited practice within the meaning of NRS 288.270(1)(f).

#### ORDER

Upon decision rendered by the Board at its meeting on August 17, 1989, it is hereby

ORDERED, ADJUDGED AND DECREED as follows:

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1. That the Union's Complaint be, and the	he same hereby is, upheld;
2. That the County shall immediately	cease and desist, and in
future, refrain from engaging in the prohibite	ed practice set forth above;
3. That the County shall, within ten	(10) days of the date of this
Order, reinstate Frank Kay to his former or a	similar position of equal pay
and benefits and shall pay full back pay and b	
4. That the District shall pay	700 to the Union as
attorneys fees and, in addition, shall p	pay costs in the amount of
$\frac{8}{1/25-88}$ incurred by the Union in these pro	oceedings.
DATED this 29th day of Systems	, 1989.
	ERNMENT EMPLOYEE— 1' RELATIONS BOARD
<b>.</b>	

SALVATORE C. GUGINO, Chairman

By Jamara Barengo, Vice Chairman

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BY SURE Mamber